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Dated:

January 18, 2002

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PTO/SB/21 (modified) Approv d for us through xx/xx/xx, OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE U.S. Department of Commerce **Application Number** 09/900,484 Rev. 10/95 Pat nt and Trademark Office Filing Date July 6, 2001 TRANSMITTAL FORM First Named Inventor Jack B. Strong et al. (to be used for all correspondence during pendency of **Group Art Unit Number** 2673 filed application) **Examiner Name** Unknown Attorney Docket Number 21495-06165 Total Number of Pages in This Submission **ENCLOSURES** (check all that apply) Fee Transmittal Form (in duplicate) Issue Fee Transmittal Check Enclosed Letter to Chief Draftsperson Return Receipt Postcard Formal Drawing(s): Response to Notice to File Missing Parts [ ] Sheet(s) of Figure(s) [ ] Appeal Communication to Board of Appeals and **Assignment & Recordation Cover Sheet** Interferences Declaration Appeal Communication to Group Power of Attorney or Authorization of Agent (Appeal Notice, Brief, Reply Brief) Copy to Notice of File Missing Parts Information Disclosure Statement & PTO-1449 Certified Copy of Priority Document(s) Copies of IDS Cited References After Allowance Communication to Group Request for Corrected Filing Receipt Request for Correction of Recorded Assignment **Preliminary Amendment** \_\_\_ After Final Status Request Revocation and Substitute Power of Attorney REMARKS: SIGNATURE OF ATTORNEY OR AGENT Signature: Thomas L. Ewing, Reg. No. 34,328 Dated: January 18, 2002 Attorney/Reg. No.: **CERTIFICATE OF MAILING** I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10 Signature:

Thomas L. Ewing

Typed or Printed Name:

Express Mail Mailing Number (optional):





Rev. 10/2000



PTO/SB/17 (10-00)(modified)
Approved for use through 09/29/01, OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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2/PTO(modified)	U.S. Department of Commerce	Complete if Known				
10/2000	Patent and Trademark Office	Application Number	09/900,484			
		Filing Date	July 6, 2001			
FEE TRANSMITTAL		First Named Inventor	Jack B. Strong et al.			
		Group Art Unit	2673			
TOTAL A	MOUNT OF PAYMENT	Examiner Name	Unassigned			
Subtotal (1) + Subtotal (2)	+ Subtotal (3) = (\$) 2,126	Attorney Docket Number	21495-06165			

<u>N</u>	<u>METHOD O</u>	F PAYMENT	FEE CALCULATION (continued)							
1. Th C mn	nissioner is	hereby authorized to:	3. ADDITIONAL	FEES						
in the difference to horoup dumonated to		Large Entity	Small Entity							
☐ Cha	rge the indicate	ed fees to the below	Fee Code/Fee	Fee Code/Fee	Fee Descr	iption		Fee Due		
mentioned deposit account.		105/ <b>\$130</b>	205/ <b>\$65</b>	Surcharge	- late filing fee or	130				
Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments		127/\$50	227/ <b>\$2</b> 5	Surcharge	-late provisional fil	er sheet				
to the below mentioned deposit account. †		147/ <b>\$2,520</b>	147/ <b>\$2,520</b>	For filing a	request for reexar					
Applicant claims small entity status See 37 CFR 1.27		115/ <b>\$110</b>	215/\$55	Extension	for response within					
		116/ <b>\$390</b>	216/ <b>\$195</b>	Extension	for response within	<del></del>				
Deposit Account Number: 19-2555 Deposit Account Name: FENWICK & WEST LLP		117/\$920	217/ <b>\$460</b>	Extension	for response within	920				
A Duplicate Copy of this authorization is attached		118/ <b>\$1,440</b> *	218/ <b>\$720</b>		for response within	h <sup>†</sup>				
2.   Payment Enclosed:		128/ <b>\$1,890</b>	228/ <b>\$945</b>	Extension	for response within					
	Check C		119/ <b>\$310</b>	219/ <b>\$155</b>	Notice of A	Appeal				
1. FILING FI	EE	(fees effective 10/01/2000)	141/ <b>\$1,240</b>	241/ <b>\$620</b>	Petition to application	revive unintention	ally abandone	ed		
Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Fee Description Due	142/ <b>\$1,240</b>	242/\$620	Utility Issue	e Fee (Or Reissue	)			
101/\$710	201/\$355	Utility Filing 710	143/ <b>\$440</b>	243/ <b>\$220</b>	Design Iss	esign Issue Fee Estions to the Commissioner				
106/\$320	206/ <b>\$160</b>	Design Filing	122/ <b>\$130</b>	122/ <b>\$130</b>	Petitions to	itions to the Commissioner				
108/\$710	208/\$355	Reissue	126/\$180	126/ <b>\$180</b>	Submissio	bmission of Information Disclosure Statement				
114/\$150	214/\$75	Provisional	179/\$710	279/\$355	Request fo	quest for Continued Examination (RCE)				
		Filing	581/ <b>\$40</b>	581/ <b>\$40</b>		Recording each patent assignment per property (times number of properties)				
2. CLAIMS	SUBTOT	AL (1) (\$) 710	146/\$710	246/\$355	Filing a sul	bmission after fina .129(a))	rejection			
Large Entity Fee Code/Fee	Small Entity Fee Code/Fee		149/\$710	249/\$355	•	additional invention	to be examin	ned		
103/\$18	203/\$9	Claims in excess of 20			•					
102/\$80	202/ <b>\$40</b>	Independent claims		Other fee (specify):	37 CFR 1.20(d) Terminal disclaimer fee			ree		
		in excess of 3		Other fee (specify):		0115	TOTAL (0)			
104/\$270	204/\$135	Multiple dependent claim	*FEE AS OF 10/01/01			SUE	STOTAL (3)	(\$) 1050		
109/ <b>\$80</b>	209/ <b>\$40</b>	Reissue independent claims over original patent	(Col. 1) No. of Existing	(Col. 2) Highest Previo	t No.	(Col. 3) Extra**	Fee	Fee Due		
110/\$18	210/\$9	Reissue claims in excess of 20 and over original	Claims TOTAL 27	Paid Fo	or	<u></u>	x 18	= 126		
/15/2002 MW0LDER1 00000068 patent 40/ INDEP 6 minus 3 or 3 = 3 x 80 = 240										
* Subtract the greater number of Col. 2 SUBTOTAL (2) (\$) 366										
** If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3										
SUBMITTED E						Complete (if applicable)				
Typed or Printe	od Name	Thomas L. Ewing				Reg. Number		34,328		
Signature	<u></u>	117	-			Date	January 1	 IR 2002		

<sup>†</sup> Request for Extension of Time per 37 CFR 1.136 (a)(3) made hereby Rev. 09/30/2000

ITES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE Washington, D.C. 20231 www.usato.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/900,484

07/06/2001

Jack B. Strong

21495-06165

00758 **FENWICK & WEST LLP** TWO PALO ALTO SQUARE PALO ALTO, CA 94306

**CONFIRMATION NO. 4155 FORMALITIES LETTER** "OC000000006472045"

73.

Date Mailed: 08/24/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

02/15/2002 MWOLDER1 00000068 192555

09900484

740.00 DP

FILED UNDER 37 CFR 1.53(b)

03 FC:105 04 FC:102 42.00 CH

130.00 OP 252.00 OP 84.00 CP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below. however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$366.
  - \$126 for 7 total claims over 20.
  - \$240 for 3 independent claims over 3.
- The oath or declaration is missing.
  - A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1206.

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE